

Commerce

NORTH CAROLINA

DEPARTMENT OF COMMERCE

SELECT ANOTHER
POSITION
TO VIEW

Website: www.nccommerce.com

Position: OCOB Financial Program Manager
Working Title: Compliance Program Manager
Vacancy Number: 60080596
Salary Grade Equivalent: 79
Competency Level: A
Salary Range: \$54513 - \$130400
Hiring Range: \$83606 - \$102186
Department: Commerce
Division: COM BANK Regulatory Entities
Type of Appointment: Perm Full-Time
Location: Raleigh
Posting Date: 12/05/2011
Closing Date: 12/16/2011
Number of Positions: 1

Description of Work

RE-POST: The NC Office of the Commissioner of Banks seeks a subject matter expert in compliance regulation. This position will report directly to the Chief Deputy Commissioner of Banks. The primary purpose of this position is to develop and implement a comprehensive compliance regulation program that encompasses all entities regulated by this office. The initial focus will be on the non-depository area, specifically the mortgage division. This position will provide training to non-depository examiners in the area of compliance examinations/reviews, i.e., reviews loan samples for technical compliance with Federal law (e.g., TILA, FHA, RESPA, ECOA, SAFE Act, Dodd-Frank Act, and HMDA) and State law (SAFE Act, Chapter 24). In addition, needs knowledge of Consumer Protection, Civil Rights, CRA, or other related laws and regulation. Meets with and/or supervises NCCOB personnel to provide feedback regarding the examination; to determine and assess their knowledge and understanding of current law and regulations; and to discuss findings and recommended corrective action.

Knowledge, Skills and Abilities

Must have a minimum of five (5) years recent experience in compliance training within the financial industry. Prefer certified Compliance professional. At the advanced level incumbent must have demonstrated knowledge of laws, rules, regulations, industry standards and

accounting/reporting processes applicable to financial industries; demonstrated ability to apply the above knowledge to analyze financial records of financial industries and identify areas of risk, material deficiencies, and violations of state and federal laws and regulations; demonstrated ability to present concise, comprehensive and accurate findings through both written products and oral presentation; demonstrated knowledge of Excel, Word, and PowerPoint; candidate is required to learn regulatory software products, such as GENESYS, ALERT, and the National Mortgage Licensing System (NMLS). This position is based in Raleigh. ****Work may involve some overnight travel. *** REPOST - PREVIOUS APPLICANTS NEED NOT RE-APPLY ***

Training and Experience Requirements

Minimum Requirements: In addition to the above knowledge, skills, and abilities, must possess a bachelor degree in a related field (banking, finance, business) and minimum of two courses of accounting or an equivalent combination of education and industry experience. A law degree may be considered. Prefer certification as a Compliance professional. Demonstrated ability to lead staff in achieving organizational goals. A copy of College Transcript must be submitted with state application PD-107. Resumes will not be accepted in lieu of state application. Employment subject to acceptable background checks (reference, credit, criminal, and DMV). ALL NEW HIRES ARE SUBJECT TO A 9 MONTH PROBATIONARY PERIOD. For additional information, please refer to the Office of the Commissioner of Banks' website: www.nccob.gov. Degrees must be from appropriately accredited institutions. ** Must embrace and actively support OCOB's values ** HIRING RANGE FOR POSITION: \$83,606 - \$102,186 Degrees must be from appropriately accredited institutions.

How to Apply:

Description cont. This position will prepare accurate and timely written reports; demonstrate strong verbal and written communication skills; may testify in judicial hearings; maintain confidentiality and discretion in the course of conducting business; adapt to change and circumstances; demonstrate sound judgment in making decisions and recommendations; maintaining the integrity and efficiency of all systems (including information technology); and human resources management. TO APPLY: You must fax, e-mail (rhuffman@nccob.gov) or mail a completed PD107, PD107a.doc (Application for Employment) to Rosemary Huffman no later than 5:00 pm on the closing date to be considered. Resumes will NOT be accepted in lieu of the state application. All experience MUST be listed on the PD-107 to be considered. Degrees must be from appropriately accredited institutions. For additional information about NCCOB and/or to access the Application for Employment forms PD-107 and 107a, please refer to www.nccob.gov. ** This position is located in Raleigh *** REPOST - PREVIOUS APPLICANTS NEED NOT RE-APPLY

Contact Person: Rosemary Huffman

Contact Agency: Commerce

Contact Address: 4309 Mail Service

Contact Phone: Raleigh, NC 27699-4309
919-715-7768

Contact Fax: 919-733-6918

The vacancy system is provided by the Office of State Personnel.
Individual vacancy information is provided by each agency/university.
